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## **TRANSMITTAL FORM**

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10/019,458 **Application Number** 07/12/2002 **Filing Date** Roy G. Gordon **First Named Inventor** 1764 **Group Art Unit TBD Examiner Name** 42697.127US2 Attorney Docket Number

		ENCLOSURES (check	call that apply)		
Fee Transmittal Form Fee Attached  Amendment / Reply After Final Affidavits/declaration(s)  Extension of Time Request Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application		Assignment Papers (for an Application)  Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a Provisional Application  Power of Attorney, Revocation Change of Correspondence Address  Terminal Disclaimer  Request for Refund  CD, Number of CD(s)  Remarks	After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information  Status Letter Other Enclosure(s) (please identify below): - Postcard; Eleven (11) cited references; PTO Form 1449  RECEIVED NOV 1 4 2002		
Response to Missing Parts under 37 CFR 1.52 or 1.53			TC 1700		
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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Gordon et al.

Art Unit:

1764

Serial No.:

10/019,458

Examiner:

TBD

Filed:

July 12, 2002

Customer No.:

23483

Title:

Liquid Precursors for Formation of Materials Containing Alkali Metals

Assistant Commissioner For Patents Washington, DC 20231

#### **INFORMATION DISCLOSURE STATEMENT**

Applicant submits the references listed on the attached form PTO-1449, copies of which are enclosed.

Submission of this statement is not a representation that a search has been made, nor is information included in this statement an admission that the information is material to patentability.

This statement is being filed before the receipt of a first Office action on the merits.

Applicants believe no fee is due. If there are any other charges, or any credits, please apply them to Deposit Account No. 08-0219.

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Respectfully submitted,

Date: November 7, 2002

Mary Rose Scozzafava, Ph.D.

Reg. No. 36,268

Hale & Dorr, LLP 60 State Street Boston, MA 02109 Tel: (617)526-6000

Fax: (617)526-5000

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	2
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Complete if Known		
Application Number	10/019,458	
Filing Date	July 12, 2002	
First Named Inventor	Roy G. Gordon	
Group Art Unit	1764	
Examiner Name	TBD	
Attorney Docket Number	42697.127US2	

	U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	U.S. Patent I	Cocument Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		5,376,405		Doan et al.	12/27/1994	Tigares Appear
		5,412,129		DiCarolis	05/02/1995	
		5,581,396		Kubota et al.	12/03/1996	
		5,726,294		Rees, Jr.	03/10/1998	
		5,980,983		Gordon	11/09/1999	
$\longrightarrow$						
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				FORE	IGN PATENT DOCUMENTS	S		
Examiner	Cite	F	Foreign Patent Document	Name of Patentee or		Date of Publication of	Pages, Columns, Lines, Where Relevant	
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Filing Date	July 12, 2002	
First Named Inventor	Roy G. Gordon	
Group Art Unit	1764	
Examiner Name	TBD	
Attorney Docket Number	42697.127US2	

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
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		Database Registry on STN: Derwent Publication Ltd., Accession Number 113:78097, Taken from J. Heterocycl. Chem. (1989), 26(6), pp. 1771-1780.	
		Database Registry on STN, Derwent Publication Ltd., Accession Number 107:96786, Taken from Chimia (1986), 40(6), pp. 202-205.	
		Chikuma et al. "Waveguiding Epitaxial Potassium Lithium Niobate Single-Crystal Films Deposited by Metalorganic Chemical Vapor Deposition." Jpn. J. Appl. Phys. Vol. 37 (1998). pp. 5582-5587.	
		Feigelson. "Epitaxial Growth of Lithium Nobate Thin Films by the Solid Source MOCVD Method." J. Crystal Growth 166 (1996), pp. 1-16.	
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